Information in practice

Creative use of existing clinical and health outcomes data to assess NHS performance in England: Part 1– performance indicators closely linked to clinical care

Azim Lakhani, James Coles, Daniel Eayres, Craig Spence, Bernard Rachet

There have been several recent calls for better data on NHS outputs and outcomes in England, which will require new data collection with long lead times. In this, the first of two articles, the authors show what can be done now with existing routine data across many sectors and raise issues about assumptions and technical aspects for discussion.

National Centre for Health Outcomes Development, London School of Hygiene and Tropical Medicine, London WC1E 6AZ Azim Lakhani *director* Daniel Eayres *senior analyst*

CASPE Research, London W1G 0AN James Coles *director*

Northgate Information Solutions, Hemel Hempstead HP2 7HU Craig Spence analyst

Non-Communicable Disease Epidemiology Unit, London School of Hygiene and Tropical Medicine Bernard Rachet clinical lecturer in epidemiology

Correspondence to: A Lakhani Azim.Lakhani@ lshtm.ac.uk

BMJ 2005;330:1426-31

A recent *BMJ* editorial on whether the NHS was improving after recent government investments concluded that we did not have the data to answer the question reliably.¹ The latest NHS chief executive's report commented on the constraints of current measures of quality and productivity and on the need for better measures of output and outcome extending beyond hospital data.² The Atkinson review of measurement of government output and productivity also acknowledged, in an interim report, the lack of measures of output and outcome attributable to the NHS.³ Reports of multidisciplinary working groups commissioned by the Department of Health describe potential health outcome indicators for 10 health topics, but many require new data collection that could take several years.⁴

Certainly, better data on outputs and outcomes, especially on those attributable to health service interventions, could help the NHS measure its success in its stated aim of improving the health and wellbeing of people in England.⁵ However, creative and informed use of existing data in the interim, acknowledging known shortcomings, may provide insights into the extent to which outcomes are changing.⁶ The main challenges are, firstly, to measure health validly and, secondly, to make judgments about the extent to which any improvements can be attributed to NHS interventions, given other influences on health outcome.

In two articles we explore some of the technical issues involved and make practical suggestions about how best to use existing data. We have selected examples to illustrate a range of methodological issues covering specific sectors (hospital, primary care, community care), multiple sectors, individual conditions, multiple conditions, patients, and whole populations. This, the first, article covers indicators more directly related to clinical care—that is, hospital case fatality, hospital admissions for conditions treatable in primary care, cancer survival, and stroke. Our second article covers developmental approaches for mental health, potentially avoidable deaths, and forecasting coronary heart disease outcomes.⁷ Many other indicators could be produced along similar lines.⁸

More rigorous analyses of routine data

Some indicators are based on hospital episode statistics.⁹ With coverage and completeness of coding of well over 96% for England as a whole, this is a valuable dataset. We have used several published approaches^{8 10 11} to improve its quality:

• Removal of duplicate episodes within each financial year

• Creation of continuous inpatient spells by linking all contiguous episodes for individual patients covering treatment, rehabilitation, and care across all NHS hospitals in each financial year

• Assessing data quality variations between organisations and years (table 1 for 2002-3, table 1.2 on bmj.com for other years)

• Improving case fatality measures to include deaths after discharge by linking hospital episode statistics with death registration data from the Office for National Statistics (table 2)

• Considering indicator specific issues (such as how multiple readmissions within a defined period should be counted)

• Recoding of organisations within each continuous inpatient spell (strategic health authority, primary care organisation, local authority) for comparability across years.

Full details of the specifications and methods used for each indicator are available elsewhere^{8 10 11} and on bmj.com.

Suggestions for indicators illustrating a range of methodological issues

An improved measure of hospital case fatality

Case fatality in hospital is often cited as an outcome measure of hospital care.^{12 13} It is assumed that better NHS interventions will reduce hospital deaths within a defined period after admission by ensuring timely

P+ Extra technical details of the methods described appear on bmj.com

 Table 1
 Completeness and quality of data from hospital episode statistics (values are numbers unless stated otherwise)

	Values for England financial year 2002-3 (range for strategic health authorities)
Total finished and unfinished consultant episodes available for analysis after removal of duplicates*	13 427 090
Total finished consultant episodes available for analysis after removal of duplicates ⁺	12 692 451
Independent count of hospital activity (finished consultant episodes) submitted by NHS trusts	12 632 619
Surplus records submitted to hospital episode statistics	59 832
Continuous inpatient spells created from finished and unfinished episodes	12 016 995
% of finished consultant episodes ending in death in hospital with primary diagnosis missing or invalid	2.6 (0.3-6.0)
% of all finished consultant episodes used for hospital case fatality indicator with primary diagnosis missing or invalid	2.1 (0.2-6.1)
% of all episodes used for hospital case fatality indicator with missing or invalid codes in at least one hospital episode statistics field used for the indicator (similar figures for the stroke admissions and primary care indicators)	2.9 (0.6-8.4)
% of all episodes used for stroke case fatality and timely discharge indicators with missing or invalid codes in at least one hospital episode statistics field used for the indicator (similar figures for the readmissions indicator)	3.6 (0.7-9.3)
% of all episodes used for patterns of mental health care indicator with missing or invalid codes in at least one hospital episode statistics field used for the indicator	3.0 (0.6-8.5)

*Includes regular day and night episodes.

†Excludes regular day and night episodes.

detection of disease, timely hospital admission, and higher quality of treatment and care in hospital.

The *BMJ* "Dr Foster's case notes" argued for an indicator comprising just 80 diagnoses that cover about 80% of 30 day mortality in hospital.¹² This excludes some conditions that are amenable to care—such as hernias, cholelithiasis, and some types of pneumonia—and which, though individually responsible for small numbers of deaths, could collectively make a material difference to a hospital's case fatality rates compared with those of others. Also, the Dr

Foster indicator includes case fatality from cancer, which can be misleading because the proportions of cancer patients coming into hospital for palliative care will vary with location, type of hospital, and over time depending on the availability of alternative care facilities. In addition, hospital case fatality within a defined period is an incomplete measure, as many deaths occur after transfer to another hospital or after final discharge. By linking hospital episode statistics data with death registration data it is possible to include all deaths.

Table 2 Values for suggested NHS performance indicators in England over five financial years

			Financia	al year			nd for average annual improvement*	
Outcome	1998-9	1999-2000	2000-1	2001-2	2002-3 (95% CI)	%	R ²	P value
Hospital case fatality†								
No of deaths	180 485	174 089	161 745	161 690	162 722			
Death rate/100 000:								
England, indirectly standardised to 2001-2	1996.3	1932.0	1819.1	1796.3	1769.8 (1761.2 to 1778.4)	3.1	0.926	0.009
England, indirectly standardised to 1998-9	1995.9	1929.2	1811.6	1789.9	1764.7 (1756.1 to 1773.3)	3.2	0.919	0.010
England, directly standardised to pooled years 1998-2003	1986.0	1918.9	1805.2	1782.9	1757.2 (1749.5 to 1764.9)	3.1	0.923	0.009
SHA minimum (2001-2 as standard)	1796.1	1754.3	1649.2	1575.9	1609.4 (1554.0 to 1666.4)			
SHA maximum (2001-2 as standard)	2202.8	2101.1	2003.0	1991.2	1959.9 (1905.1 to 2016.0)			
% of deaths in admitting hospital	84.4	84.6	84.6	85.1	85.4			
% of deaths after transfer	1.7	1.9	2.0	2.0	2.2			
% of deaths after discharge	13.9	13.5	13.5	12.9	12.4			
Admissions for acute conditions treatable in prima	ry care‡							
No of admissions	189 410	185 413	180 987	179 183	181 550			
Admission rate/100 000:								
England	387.7	379.2	369.4	364.7	368.7 (367.0 to 370.4)	1.4	0.792	0.043
SHA minimum	280.4	274.0	269.2	251.7	251.6 (244.6 to 258.7)			
SHA maximum	537.3	521.1	496.8	493.7	504.0 (490.8 to 517.5)			
Admissions for chronic conditions treatable in prim	ary care§							
No of admissions	93 786	91 473	86 122	85 847	85 254			
Admission rate/100 000:								
England	192.0	186.9	175.8	174.6	173.3 (172.1 to 174.5)	2.7	0.878	0.019
SHA minimum	143.5	139.9	126.8	121.9	124.4 (119.6 to 129.3)			
SHA maximum	263.2	253.3	237.9	232.8	229.3 (220.5 to 238.4)			

SHA=Strategic health authorities (as at April 2003).

*Fitting a linear trend to log transformed rates.

†Deaths in hospital and after discharge among patients of all ages, between 0 and 29 days (inclusive) of hospital admission. Rates indirectly standardised for age, sex, method of admission, and primary diagnosis per 100 000 continuous inpatient spells (excluding those with a diagnosis of cancer anywhere in the spell), standardised to 1998-9, 2001-2, or 1998-2003 as indicated. (Data sources: hospital episode statistics, Office for National Statistics.)

#Emergency admissions for ear, nose, and throat conditions, kidney or urinary tract infections, and heart failure. Rates indirectly standardised for age and sex per 100 000 people of all ages, standardised to 2000-1. (Data source: hospital episode statistics, ICD-10 codes (for primary diagnosis) H66.0-H66.4, H66.9, J02.0, J02.8, J02.9, J03.0, J03.8, J03.9, J04.0, J06.0, J06.8, J06.9, J31.0-J31.2, N15.9, N39.0, N30.0, I50.0, I50.1, I50.9 or I11.)

§Emergency admissions for asthma and diabetes. Rates indirectly standardised for age and sex per 100 000 people of all ages, standardised to 2000-1. (Data source: hospital episode statistics, ICD-10 codes (for primary diagnosis) J45-J46, E10-E14.)

See bmj.com for further details on indicators.

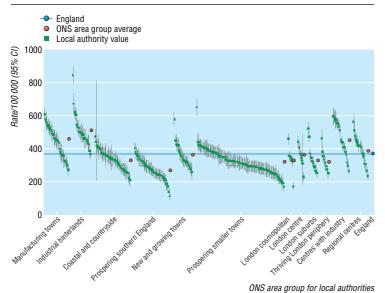


Fig 1 Local health authorities' rates of emergency admissions to hospital for acute conditions usually managed in primary care, indirectly standardised for age and sex, per 100 000 people of all ages, financial year 2002-3. Local authorities are grouped by Office for National Statistics (ONS) area classification. (Data from hospital episode statistics)

We developed a generic case fatality indicator covering all deaths within 30 days of admission to hospital for any reason excluding cancer. The rate was standardised by age (19 groups), sex (two groups), admission method (elective or non-elective), and primary diagnosis (51 elective and 219 non-elective groups). We defined the diagnosis groups using the ICD-10 (international classification of diseases, 10th revision) codes at chapter, sub-chapter, or three digit level when the case fatality rate was significantly different from that of the next higher level in two consecutive financial years and there were at least 50 deaths in each year (see bmj.com for details). Table 2 shows significant falling trends over five financial years and significant geographical variation within each year.

Measures reflecting primary care

Table 2 shows significant falling trends and significant geographical variation in potentially avoidable hospital admissions for acute and chronic conditions that could, in most instances, be managed in primary care.¹⁰ This picture is encouraging.

The Office for National Statistics has used cluster analyses to create an area classification for grouping local authorities that are most similar in terms of 42 demographic, socioeconomic, housing, and other Census 2001 variables.¹⁴ Figure 1 presents rates of hospital admissions for these local authority groups for acute conditions usually managed in primary care. It shows substantial variation between "like" local authorities. This suggests that there may be factors other than population and environmental ones that may influence these admission rates, such as quality of primary care. However, there may be other factors outside the control of primary care providers, such as accessibility of accident and emergency and outpatient facilities and hospital admission policies.

Cancer survival

There have been several recent commitments to improving cancer services and outcomes (such as the NHS cancer plan).⁵ The overall target for reducing the disease burden is expressed in terms of mortality, but mortality trends reflect both the incidence of cancer and the survival of cancer patients. Mortality is not the best indicator to measure the progress of health services in treating those with the disease. A better indicator for this is the relative survival rate after diagnosis.

Relative survival for a particular period is an estimate of the proportion of cancer patients who survive their disease after adjustment for death from other causes (that is, the ratio of the survival rate observed to that which would have been expected if cancer patients had had the same overall mortality as the general population). Relative survival can be directly standardised for age to take account of variation in survival by age and changes in the age distribution of cancer patients over time or between areas.

At the time of their publication, cancer survival indicators will reflect the impact of past as well as current health care, over the five years from diagnosis. Trends and geographical variation may be due to several factors including the quality of primary care, the speed of referral, and the quality of treatment services. They may also be a proxy for other factors not readily measured, such as the local population's level of understanding of cancer symptoms and what to do about them, variations in the extent of disease at diagnosis (stage) and in the histology and grade of tumours, and artefacts in the data.

Table 3 shows significant improving trends at the England level and non-significant geographical variation in five year survival for patients with cancers of the breast or prostate during 1993-7. Figure 2 shows five year survival trends for eight major cancers (bladder, breast, cervix, colon, lung, oesophagus, prostate, and stomach) diagnosed over the periods 1971-5 to 1986- 90^{15} and 1991-5 to $1996-9^{16}$ and followed up to the end of 2001 (follow up for the final period is incomplete). Survival from cancers of the breast (women) and colon (both sexes) shows steady improvement over three decades. Prostate cancer survival increased much faster in the 1990s than in the previous two decades; this may reflect the increasing use of prostate specific antigen testing, which results in earlier diagnosis (and therefore greater recorded survival) of a large proportion of tumours.

Stomach cancer survival remains low, and in absolute terms the increase in survival has been small. Relatively speaking, however, the chances of surviving have tripled for both men and women over the period. Both male and female bladder cancer survival seems to be levelling off. Indeed, the survival for women fell slightly between 1991-5 and 1996-9. Survival for cervical cancer also fell slightly between these two periods. For cancers of the oesophagus and lung, survival rates are low and absolute improvements are small.

The suitability of cancer survival as a measure of improvement of health services varies with the type of cancer. For cancers such as lung cancer, where survival is poor, the indicator may not be sensitive enough to determine real improvements from one period to another nor real variations between geographical

Table 3	Values	for s	suggested	performance	indicators	for	cancer	survival	in	England
---------	--------	-------	-----------	-------------	------------	-----	--------	----------	----	---------

			Year of cancer	diagnosis		Trend for	average annua	al improvemen
Outcome 1993		1994	1995	1996	1997 (95% CI)	%	R ²	P value
Breast cancer survival								
No of diagnoses	26 122	26 382	27 144	27 748	29 460			
Five year survival rate ((%)†:							
England	72.0	74.0	74.9	75.8	77.3 (75.8 to 78.8)	1.7	0.978	0.001
GOR minimum	67.6	69.0	69.6	67.6	72.8 (65.5 to 80.1)			
GOR maximum	74.2	78.4	76.4	77.4	79.4 (75.2 to 83.6)			
Prostate cancer surviva	al							
No of diagnoses	14 382	15 965	16 538	16 731	16 580			
Five year survival rate ((%)†:							
England	52.5	57.3	60.4	63.3	64.5 (62.4 to 66.5)	5.2	0.943	0.006
GOR minimum	47.1	42.1	46.8	45.1	51.6 (42.1 to 61.1)			
GOR maximum	56.3	62.6	66.9	71.6	69.4 (63.7 to 75.0)			

GOR=Government office region.

*Fitting a linear trend to log transformed rates

+Age standardised five year relative survival rates for women aged 15-99 years (for breast cancer) and men aged 15-99 (for prostate cancer). Range for government office regions excludes the north east region because of known data problems. Sources of data: Office for National Statistics. See bmj.com for further details on indicators

areas. Also, for such cancers, services may be focused on prevention and palliation rather than cure: survival does not measure this aspect of patient care. For other cancers-such as of the colon, prostate, and breastearly diagnosis tools or effective treatments are available, and significant improvements in survival have been observed.

Comprehensive measurement reflecting a variety of perspectives

The greatest proportion of healthcare expenditure on high blood pressure and stroke is on stroke treatment, rehabilitation, and long term care,17 even though stroke is, to a certain extent, preventable through health service intervention. For assessing what is being achieved in this clinical area, single indicators in isolation would present an incomplete picture of a complex reality. Table 4 contains a set of indicators that provide a more detailed insight as follows:

• A significant rising trend in obesity. This implies an increasing future risk of high blood pressure and stroke, and hence of future NHS resource use. Obesity is only partially amenable to healthcare interventions

• No significant changes in high blood pressure, an indicator of risk of future stroke

• A significant rising trend in levels of treated and controlled high blood pressure (the single most important known NHS preventive intervention for stroke), although there is still plenty of room for improvement. In 2002, of 10 653 adults of all ages with blood pressure measurements in the Health Survey for England, 25% had untreated high blood pressure and 8% had high blood pressure that was treated but not controlled¹

• A significant falling trend in population levels of hospitalisation for stroke. In the absence of suitable community based data, emergency hospital admissions may be used as a rough proxy for incidence, although not everyone with a stroke is admitted to hospital, and this will vary geographically. New guidelines on the development of dedicated stroke units were expected to lead to an increase in hospital admission rates, so a falling trend may indicate falling incidence

• A non-significant rising trend in emergency readmissions after discharge from hospital after treatment for stroke. Some of these readmissions may be due to potentially avoidable adverse events

• A significant falling trend in case fatality after a stroke

• A significant rising trend in timely discharge to usual place of residence. The timing is a proxy for completed treatment and rehabilitation, and a discharge to a place other than the usual place of residence might indicate an important life change and poor outcome

• A significant falling trend in population mortality from stroke. It is suggested that 70% of stroke mortality represents failure of secondary prevention and treatment by healthcare organisations, and 30 % represents failure of primary prevention, some but not all of which is amenable to treatment.¹⁹ There is some overlap with case fatality.

These indicators provide a mixed picture. The NHS is improving on several fronts, but many of its resources are used for managing problems that are to some extent preventable. How should hospital activity in managing stroke (and its commensurate expenditure) be used in assessing NHS productivity?

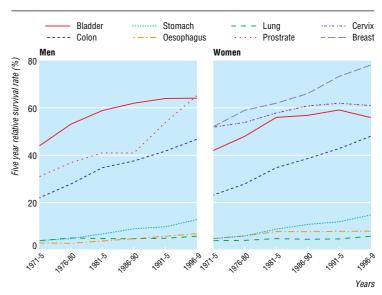


Fig 2 Trends in age standardised five year relative survival rates for people aged 15-99 years in England and Wales with cancer diagnosed in 1971-5 to 1996-9. (Data from Office for National Statistics)

Table 4 Values for suggested NHS	performance indicators for high blood	pressure in England over five	financial or calendar years
	periorinance indicatore for high bloca	procouro in Englana ovor invo	intanolal of balondal youro

			Financial or cale	idar year		Trend for average annual improve		
Outcome	Year 1	Year 2	Year 3	Year 4	Year 5 (95% CI)	%	R ²	P value
Obesity†								
No of adults obese	2 756	1 364	1 456	3 035	1 439			
Obesity rate (%):								
England	19.0	19.5	20.7	21.7	22.1 (21.0 to 23.1)	-4.1	0.972	0.002
GOR minimum	16.9	16.4	17.8	18.9	17.1 (14.2 to 20.0)			
GOR maximum	22.3	22.9	24.2	25.2	26.2 (22.8 to 29.6)			
High blood pressure‡								
No of adults with HBP	4 339	NM	2 024	3 993	1 710			
HBP rate (%):								
England	35.4		34.5	35.3	33.3 (32.1 to 34.4)	1.2	0.509	0.287
GOR minimum	32.9		31.7	31.8	30.4 (26.9 to 34.0)			
GOR maximum	39.5		39.2	40.9	36.6 (32.9 to 40.3)			
Treated and controlled high blood			00.2	1010				
No of adults with controlled HBP	322	NM	194	417	226			
Controlled HBP rate (%):	522				220			
England	6.1		7.8	8.3	9.8 (8.2 to 11.3)	12.3	0.989	0.006
SHA minimum	4.3		3.6	6.5	5.3 (2.0 to 8.6)	12.0	0.000	0.000
SHA maximum	9.0		14.4	13.4	16.1 (7.8 to 24.3)			
Hospitalisation for stroke (proxy for				10.1	10.1 (1.0 to 2 1.0)			
No of admissions	69 478	67 785	65 027	64 884	66 038			
Admission rate/100 000:	03 470	07 705	03 027	04 004	00 030			
England	144.3	139.9	132.7	130.7	131.6 (130.6 to 132.6)	2.5	0.8	0.027
SHA minimum	113.9	95.3	97.2	93.2	101.4 (96.6 to 106.4)	2.0	0.0	0.027
SHA maximum	182.5	181.5			, ,			
	102.0	101.0	177.5	171.2	162.8 (156.3 to 169.6)			
Stroke emergency readmissions**	0.050	0.000	0.105	0.000	0.001			
No of readmissions	3 052	3 292	3 185	3 233	3 331			
Readmission rate (%):					0.0 (7.0 + 0.4)		0 750	0.054
England	7.3	7.9	7.9	8.0	8.2 (7.9 to 8.4)	-2.3	0.759	0.054
SHA minimum	5.8	6.0	6.3	6.3	6.3 (5.1 to 7.7)			
SHA maximum	11.7	11.3	11.5	10.9	9.8 (8.0 to 12.0)			
Stroke hospital case fatality††								
No of deaths	21 125	19 392	18 035	17 509	17 671			
Death rate/1000:								
England	304.2	286.6	274.2	267.9	266.8 (262.8 to 270.7)	3.2	0.9	0.014
SHA minimum	264.4	253.3	220.2	230.5	212.1 (186.4 to 240.3)			
SHA maximum	341.5	343.2	317.1	315.3	302.9 (284.0 to 322.8)			
Timely discharge to usual place of	residence after s	troke admission‡‡						
No of discharges	29 911	29 953	29 346	29 296	29 840			
Discharge rate (%):								
England	47.9	48.9	49.9	50.1	50.7 (50.2 to 51.3)	1.4	0.949	0.005
SHA minimum	41.3	42.6	44.9	46.5	46.7 (44.3 to 49.1)			
SHA maximum	53.1	52.9	55.4	56.1	58.4 (55.3 to 61.8)			
Stroke population mortality§§								
No of deaths	59 367	57 850	54 297	54 861	55 351			
Death rate/100 000:								
England	73.9	71.4	66.0	65.4	65.1 (64.6 to 65.7)	3.4	0.9	0.024
SHA minimum	60.5	60.6	54.5	54.9	51.2 (48.2 to 54.3)			
SHA maximum	93.3	87.2	77.8	79.4	78.8 (75.9 to 81.7)			

GOR=Government office regions. NM=Not measured. HBP=High blood pressure. SHA=Strategic health authorities (as at April 2003).

*Fitting a linear trend to log transformed rates.

 ± 0 + Adults (aged ≥ 16) with body mass index > 30 for calendar years 1998-2002. Rates directly standardised for age and sex using the England 2001 census non-institutional adult population count. (Data source: health survey for England.)

 \pm Adults (aged \geq 16) who had a valid blood pressure reading and data on drugs with a mean systolic blood pressure of \geq 140 mm Hg or diastolic pressure \geq 90 mm Hg or currently taking prescribed drugs for blood pressure for calendar years 1998-2002. Rates directly standardised for age and sex using the England 2001 census non-institutional adult population count. (Data source: health survey for England.)

SAdults taking prescribed blood pressure drugs with a blood pressure reading <140/90 mm Hg during health survey for calendar years 1998-2002. Rates (of all those with high blood pressure) directly standardised for age using the England 2001 census non-institutional adult population count. (Data source: health survey for England.)

¶Emergency admissions of patients to hospital with stroke (primary diagnosis ICD-10 codes I61-I64) for financial years 1998-9 to 2002-3. Rates indirectly standardised for age and sex per 100 000 residents of all ages, standardised to 2000-1. The denominators are mid-year population estimates for 1998-2002. (Data source: hospital episode statistics, Office for National Statistics.) **Emergency admissions of patients to any hospital between 0 and 27 days (inclusive) of live discharge after admission for stroke (primary diagnosis ICD-10 codes I61-I64) for financial years 1998-9 to 2002-3. Rates indirectly standardised for age and sex per 100 000 residents of all ages, standardised to 2000-1. The denominators are mid-year population estimates for 1998-202. (Data source: hospital episode statistics.)

+TDeaths occurring in hospital and after discharge among patients of all ages between 0 and 29 days (inclusive) of an emergency admission for stroke (primary diagnosis ICD-10 codes I61-I64) for financial years 1998-9 to 2002-3. Rates indirectly standardised for age and sex per 1000 continuous inpatient spells, standardised to 2000-1. (Data source: hospital episode statistics, Office for National Statistics.)

(inclusive) of all ages with an emergency admission for stroke (primary diagnosis ICD-10 codes I61-I64) who had been discharged to their preadmission accommodation within 55 days (inclusive) of admission, for financial years 1998-9 to 2002-3. Rates indirectly standardised for age and sex as percentage of continuous inpatient spells, standardised to 2000-1. (Data source: hospital episode statistics.)

Symortality from stroke (ICD-10 codes I60-I69, ICD-9 codes 430-438) among residents of all ages for 1998-2002. These ICD codes are different from those used above because, unlike hospital episode statistics, data from Office for National Statistics do not have ICD-10 coding across all years, and there is no equivalent for ICD-10 I61-I64 in ICD-9. Years 1998 and 2000 use ICD-9, and years 1999, 2001, and 2002 use ICD-10. Data based on ICD-9 are adjusted for equivalence to ICD-10. Rates directly standardised for age per 100 000 residents, standardised to the European Standard Population. (Data source: Office for National Statistics.) See BMJ.com for further details on indicators.

Summary points

The extent to which recent investments in the NHS in England have improved productivity remains unclear because current measures do not adequately reflect improvements in services and outcomes achieved

Given the breadth and complexity of health care, including the role of health services in acting as advocates for health, measuring performance requires a multifaceted approach

While better data are collected, which may take years, more rigorous analysis of existing routine data allows assessment of outputs and outcomes across a wide range of services

Examples of such indicators that illustrate methodological issues include improved measure of hospital case fatality, measures reflecting primary care, cancer survival, and comprehensive measurement of managing high blood pressure and stroke

On one hand it reflects services provided by the NHS, but on the other it is a consequence of past failures of the NHS. This set of indicators also raises the issue of the time lag between resource use and its impact. Other conditions could also be studied in this way, by bringing together disparate but related items of information for a critical appraisal of their relevance and appropriateness in the assessment of productivity.

For discussion

This article is confined to health outcome measures and their proxies. Many other types of output, however, could be included in an assessment of productivity. In our second article we present further examples of indicators and raise issues and assumptions that require discussion-that is, the selection of indicators and targets, methods, interpretation of data, and application in productivity measurement.⁷

AL's contributions to the study were made within his role at the Oxford branch of the National Centre for Health Outcomes Development, based at Oxford University, Headington, Oxford. Contributors: AL conceived of the study, drafted the article, and produced the hospital episode statistics based indicators and stroke indicators. IC helped draft the article and produced the hospital case fatality indicators. DE helped draft the article and produced all the mortality indicators. CS analysed hospital episode statistics data. BR produced the cancer survival indicators. Henryk Olearnik analysed the health survey for England data. Colin Sanderson helped draft the article. Lee Mellers helped analyse hospital episode statistics data. Michel Coleman contributed to the cancer survival section. David Rudrum provided editorial support. AL is guarantor for the study

Competing interests: All authors are involved in the work of the National Centre for Health Outcomes Development, either directly or via subcontracts. The centre is funded by the Department of Health and commissioned by it and the Healthcare Commission to develop and produce clinical and health indicators for them and the NHS. The views expressed here are those of the authors and not necessarily of the commissioners.

Ethical approval: Not needed.

- Smith R. Is the NHS getting better or worse? [editorial] BMJ 2003;327:1239-41. 1 2
- Department of Health. Chief executive's report to the NHS. London: DoH, 2004. Atkinson A. Atkinson review: interim report-measurement of government out-3
- put and productivity for the national accounts. London: Stationery Office, 2004. Rudd A, Goldacre M, Amess M, Fletcher J, Wilkinson E, Mason A, et al, 4
- eds. Health outcome indicators: stroke. Report of a working group to the Department of Health. Oxford: National Centre for Health Outcomes Development, 1999.
- Department of Health. Website www.dh.gov.uk/Home (accessed 1 Mar 2005). 5
- Lakhani A. Assessment of clinical and health outcomes within the National Health Service in England. In: Leadbeter D, ed. *Harnessing offi-*6 cial statistics. Abingdon: Radcliffe Medical Press, 2000. Lakhani A, Coles J, Eayres D, Spence C, Sanderson C. Creative use of
- existing clinical and health outcomes data to assess NHS performance in
- England : Part 2—more challenging aspects of monitoring. BMJ in press. Lakhani A, Olearnik H, Eayres D, eds. Compendium of clinical and health indicators. London: Department of Health, National Centre For Health Outcomes Development, 2003. Department of Health. Hospital episode statistics. www.dh.gov.uk/ PublicationsAndStatistics/Statistics/HospitalEpisodeStatistics/ (accessed 1 Mar 2005). 9 1 Mar 2005).
- Department of Health. NHS performance indicators: February 2002. www.performance.doh.gov.uk/nhsperformanceindicators/2002/ index.html (accessed 1 Mar 2005).
- Healthcare Commission. 2004 performance ratings. h ratings2004.healthcarecommission.org.uk/ (accessed 1 Mar 2005). 11 Healthcare http://
- Jarman B, Aylin P, Bottle A. Dr Foster's case notes: CHI ratings and HSMRs: is there a relation? *BMJ* 2004;329:73. 12
- 13 Mason A, Goldacre M, Daly E. Using case fatality rates as a health outcome indicator—a literature review. Report to the Department of Health. Oxford: National Centre for Health Outcomes Development, 2000.
- 14 Office for National Statistics, National statistics 2001 area classification In Once for Handbran statistics (south/about/methodology_by_theme/area_classification/la/default.asp (accessed 1 Mar 2005).
 Coleman MP, Babb P, Damiecki P, Grosclaude P, Honjo S, Jones J,
- et al. Cancer survival trends in England and Wales 1971-1995: deprivation and NHS Region. Series SMPS No.61. London: Stationery Office, 1999.1-695
- 16 Office for National Statistics. One- and five-year survival of patients diagnosed in 1991-95 and 1996-99: major cancers, sex and age, England and Wales. www.statistics.gov.uk/StatBase/Expodata/Spreadsheets/ D7899.81s (accessed 1 Mar 2005). 17 Central Health Monitoring Unit. Burdens of disease Wetherby: NHS
- Executive, 1996. (Cat. No 96CC0036.) 18 Department of Health. Health Survey for England—trend data.
- www.publications.doh.gov.uk/stats/trends1.htm (accessed 1 Mar 2005).
 Nolte F, McKee M. Does healthcare save lives?- Avoidable mortality revisited.
- London: Nuffield Trust, 2004. (Accepted 14 March 2005)

Endpiece

The last Decalogue

Thou shalt have one God only; who Would be at the expense of two? No graven images may be

Worshipped, except the currency:

Swear not at all; for, for thy curse Thine enemy is none the worse:

At church on Sunday to attend Will serve to keep the world thy friend:

Honour thy parents; that is, all From whom advancement may befall:

Thou shalt not kill; but need'st not strive Officiously to keep alive:

Do not adultery commit;

Advantage rarely comes of it:

Thou shalt not steal; an empty feat, When it's so

lucrative to cheat: Bear not false witness; let the lie Have time on its

own wings to fly:

Thou shalt not covet, but tradition Approves all forms of competition.

Arthur Clough (1819-61)

J A Hicklin, consultant rheumatologist, West Sussex